

### Summary Internal Controls Assurance (SICA) Report 2022/2023 (TIAA, Chris Harris)

**Synopsis of report:**

To inform Members on the progress made to date by TIAA, the Council's internal auditors, on the 2022/2023 Internal Audit Annual Plan.

**Recommendation(s):**

**None. This report is for information.**

**1. Context of report**

- 1.1 Attached at Appendix 'A' is the most recent Summary Internal Controls Assurance (SICA) Report, as at 9 May 2023.

**2. Report**

- 2.1 The report identifies 10 audits from the 2022/2023 programme finalised since the last meeting of this Committee. These are:

Budgetary Control  
Capital Accounting and Asset Management  
Cash and Bank  
Commercial Rents  
Data Protection and Information Governance  
HR Absence Management  
Key Financial Controls  
Main Accounting  
Payroll  
HR Recruitment

- 2.2 All audits from the 2022/2023 audit plan have now been completed to at least draft report with the majority now finalised.
- 2.3 One client briefing notice has been issued by TIAA since the last report to Committee, which can be circulated to Members of the Committee separately, on request.

**3. Resource implications**

- 3.1 The audit service is budgeted for in the Council's annual budgets, with a small contingency to cover unforeseen audits.

**4. Legal and Equality implications**

- 4.1 None.

**(For information)**

**Background papers**

Relevant Internal Audit working files and reports